

**Form PTO-1449** (modified)

List of Patents and Publications

For Applicant's Information

Disclosure Statement

(Use several sheets if necessary)

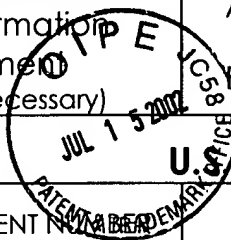
ATTY. DOCKET NO: 5053-31001

APPLICANT: Robert J. Shaw

SERIAL NO: 09/699,038

GROUP: 2161

FILING DATE: October 27, 2000

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIA
EC	A1	4,878,167	10/1989	Kapulka et al.			
EC	A2	5,099,422	3/1992	Foresman et al.			
	A3	5,191,522	03/1993	Bosco et al.			
	A4	5,201,044	4/1993	Frey, Jr. et al.			
	A5	5,233,513	8/1993	Doyle			
	A6	5,386,566	1/1995	Hamanaka et al.			
	A7	5,394,555	2/1995	Hunter et al.			
	A8	5,434,994	7/1995	Shaheen et al.			
	A9	5,455,947	10/1995	Suzuki et al.			
	A10	5,483,632	1/1996	Kuwamoto et al.			
	A11	5,499,330	3/1996	Lucas et al.			
	A12	5,504,674	4/1996	Chen et al.			
	A13	5,523,942	6/1996	Tyler et al.			
	A14	5,550,976	8/1996	Henderson et al.			
	A15	5,586,310	12/1996	Sharman			
	A16	5,638,508	6/1997	Kanai et al.			
	A17	5,689,706	11/1997	Rao et al.			
	A18	5,745,901	4/1998	Entner et al.			
	A19	5,768,505	6/1998	Gilchrist et al.			
	A20	5,768,506	6/1998	Randell			
	A21	5,797,134	8/1998	McMillan et al.			
	A22	5,832,481	11/1998	Sheffield			
	A23	5,870,711	2/1999	Huffman			
EC	A24	5,873,066	02/1999	Underwood et al.			
EC	A25	5,907,848	5/1999	Zaiken et al.			

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JUL 16 2002

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EXAMINER:

*J. Collett*

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*9-27-04*

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

APPLICANT: Robert J. Shaw

GROUP: 2161

~~FREE~~ FILING DATE: October 27, 2000

U.S. PATENT DOCUMENTS

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**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIA
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**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.				CLASS	SUB CLASS	TRANSLATIO YES/NO
QC	A46	0 280 773	9/1988	EP			
QC	A47	0 465 018	1/1992	EP			
QC	A48	0 926 608	6/1999	EP			

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

QC	A49	"@ Fault A Commitment to Consistency," Computer Sciences Corporation, Copyright 2000, pp. 1-2.					
QC	A50	Merlin, Jr., William F., "Colossus: What We Know Today," The Merlin Law Group, August 2000, Tampa FL, pp. 1-8.					
QC	A51	Merlin, Jr., William F., "Collision Course With The Colossus Program: How To Deal With It," The Merlin Law Group, May 2000, Tampa, FL, pp. 1-17.					
	A52	Merlin, Jr., William F., "Overcoming Allstate's Trade Secrets and Work-Product Objections," The Merlin Law Group, March 2000, Tampa, FL, pp. 1-31.					
	A53	Mead, Jay, "Technical Communication, August 1998, V. 45, N.3, p.353-380.					
	A54	Scopus Introduces World's Most Complete Call Center Solution for Financial Services; PR Newswire dated November 5, 1997					
	A55	Borland, Russel; "Running Microsoft Outlook 97", Microsoft Press, 1997					
QC	A56	Juhl, Randy P., "The OTC Revolution"; Drugtopics.com; March 3, 1997, pp. 1-9.					

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# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Smart Trigger For Use in Processing Business Transactions						
Application Number: 09/699038							
Confirmation Number: 6764							
First Named Applicant: Robert Shaw							
Attorney Docket Number: 5053-31001							
Art Unit: 2161							
Examiner: Unknown Unknown							
Search string: ( 5732397 or 5742820 or 5864679 or 5867494 or 5892905 or 5956719 or 5991756 or 6003033 or 6112209 or 6272482 or 6289339 or 6385612 or 6438563 or 6446086 ).pn.							
<div>RECEIVED OCT 27 2003 GROUP 3600</div>							
US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	5732397	1998-03-24	De Tore et al.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	2	5742820	1998-04-21	Perlman			
<input type="checkbox"/>	3	5864679	1999-01-26	Kanai et al.			
<input type="checkbox"/>	4	5867494	1999-02-02	Krishnaswamy, et al.			
<input type="checkbox"/>	5	5892905	1999-04-06	Brandt et al.			
<input type="checkbox"/>	6	5956719	1999-09-21	Kudo et al.			
<input type="checkbox"/>	7	5991756	1999-11-23	Wu			
<input type="checkbox"/>	8	6003033	1999-12-14	Amano et al.			
<input type="checkbox"/>	9	6112209	2000-08-29	Gusack			
<input type="checkbox"/>	10	6272482	2001-08-07	McKee et al.			
<input type="checkbox"/>	11	6289339	2001-09-11	Weber			
<input type="checkbox"/>	12	6385612	2002-05-07	Troisi			
<input type="checkbox"/>	13	6438563	2002-08-20	Kawagoe			
<input checked="" type="checkbox"/>	14	6446086	2002-09-03	Bartlett et al.			
Signature							

Examiner Name	Date
<i>F. Collier</i>	<i>9-27-04</i>